

FOOTHILLS GATEWAY, INC.

Incident Reporting

POLICY:

It is the policy of Foothills Gateway, Inc. that all incidents will be recorded and reviewed according to regulatory standards, and rules and regulations.

PROCEDURE:

Each incident report form shall include information pursuant to the Rules and Regulations of Health Care Policy and Financing (HCPF). This will include but not limited to:

- a. injury to a person receiving services;
- b. lost or missing persons receiving services;
- c. medical emergencies involving persons receiving services;
- d. death of person receiving services;
- e. errors in medication administration;
- f. incidents or reports of action by persons receiving services that are unusual and require review;
- g. allegations of mistreatment, abuse, neglect or exploitation;
- h. use of safety control procedures;
- i. use of emergency control procedures;
- j. stolen personal property belong to a person receiving services.
- k. hospitalization of persons receiving services

All incident reports must contain but is not limited to the following (as specified in 10CCR25-05-10, 8.608.6(B)):

1. Name of the person reporting;
2. Name of the person receiving services who was involved in the incident;
3. Name of persons involved or witnessing the incident;
4. Type of incident;
5. Description of the incident;
6. Date and place of occurrence;
7. Duration of the incident;
8. Description of the action taken;
9. Whether the incident was observed directly or reported to the agency;
10. Names of persons notified;
11. Follow-up action taken or where to find documentation of further follow-up; and,
12. Name of the person responsible for follow-up.

Submission of Incident Reports

- All critical incidents shall be reported immediately to the Case Manager (during business hours) and entered into FGI online incident reporting system within 24 hours.
- All critical incidents will be entered by FGI support staff into the Benefit Utilization System (BUS) web-based system within 24 hours, one business day, upon receiving the incident report from the provider agency, per waiver requirements.

- Program Approved Service Agencies (PASAs) will contact legal guardians or parents about incidents when information releases are in place.
- All other incident reports must be submitted within 72 hours to FGI for review.
- PASAs have two options to submit incident reports (not mistreatment or critical) to Foothills Gateway:
 - Enter incident report into FGI online incident reporting system. PASAs will need to contact FGI/IT for access and training
 - Email incident reports to FGI at **incidentreports@foothillsgateway.org**. These incidents reports must:
 - Be entered as a PDF attachment with the persons full name and date of incident.
 - Include the codes established together with local services providers (do not email incident reports directly to Case Managers).
- Follow-up reports will be the responsibility of the person indicated on the incident report such as the Case Manager, PASA staff or Coordinator.
- The Follow up reports must be reviewed and submitted by accessing the FGI on-line Incident Reporting system.

Distribution/Copying of Incident Reports

- FGI Data Technician will accept electronic incident reports into FGI I/R data system or enter emailed IRs into the FGI Incident Reporting system. Case Managers will review all incident reports and identify copies to be made per the Service Plan (guardians, parents).
- Case Managers will electronically identify PASA staff if additional follow up is needed.
- Case Managers will give all hard copies of incident reports to the DCM Data Technician to input into the database, copy and send to identified individuals. The incident report shall be in the persons record and made available to the Department upon request (10-CCR 25-05-10, 8.608.6(D)(E)).

Foothills Gateway, Inc. and Program Approved Service Agencies, shall review and analyze information from incident reports to identify trends and problematic practices which may be occurring in specific services and shall take appropriate corrective action to address problematic practices identified.

*Refer to FGI Critical Incident Reporting process for definitions and detailed information.

1/93...12/19, 10/20; 12/21